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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Signature

Applicant : Oscar E. Agazzi
Application No. : 09/989,367
Filed : November 20, 2001

Title : METHOD AND SYSTEM TO IDENTIFY AND
CHARACTERIZE NONLINEARITIES IN OPTICAL
COMMUNICATIONS CHANNELS

Grp./Div. : 2633

Examiner : Not Yet Assigned
Docket No. : 41281/RJP/B600



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INFORMATION DISCLOSURE STATEMENT AND
CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
August 28, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B with a listing of references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

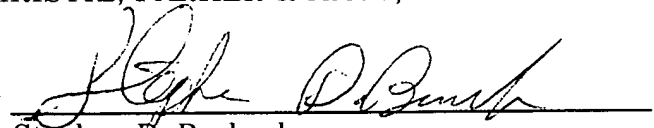
Application No.09/989,367

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Stephen D. Burbach

Reg. No. 40,285

626/795-9900

SDB/dlf

Enclosures: PTO/SB/08A/B, w/references

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 FORM PTO/SB/08A/B (10-01)
 Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	41281/SDB/B600
Application Number	09/989,367
Filing Date	November 20, 2001
Applicant(s)	Oscar E. Agazzi
Group Art Unit	2633
Examiner Name	To be assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	<u>DOCUMENT NUMBER</u> Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,726,965	03-10-1998	Hajjar et al.

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FOREIGN PATENT DOCUMENTS

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EXAMINER INITIALS	Cite No. ¹	<u>Foreign Patent Document</u> Country Code ³ - Number ⁴ . Kind Code ⁶ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		T. THORMUNDSSON et al., <i>Cancellation of Nonlinear Intersymbol Interference in Voiceband Communication Channels</i> , March 1997, pp. 862-865, 0-7803-3905, Engineering Research Institute, University of Iceland
		S. BELLINI et al., <i>Nonlinear Cross Talk Cancellation for High Density Optical Recording</i> , Global Telecommunications Conference - Globecom '99, May 1999, pp. 933-938, 0-7803-5796, Politecnico de Milano, Italy
		N. SANDS et al., <i>Non-Linear Identification on the Digital Magnetic Recording Channel</i> , Information Systems Laboratory, March 1991, pp. 6-10, 1058-6393/91, Stanford University, Stanford, CA
		International Search Report, dated July 1, 2002

EXAMINER SIGNATURE	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

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